



Atty. Dkt. No. 076333-0325

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Louis D. Faló et al.

Title: INDUCTION OF TUMOR AND VIRAL IMMUNITY
USING ANTIGEN PRESENTING CELL CO-CULTURE
PRODUCTS AND FUSION PRODUCTS

Appl. No.: 10/608,424

Filing Date: 06/30/2003

Examiner: Unassigned

Art Unit: 1646

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §1.56

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicants submit herewith on Form PTO/SB/08 a listing of the documents cited by or submitted to the U.S. PTO in parent application Serial No. 09/030,985, filed 02/26/1998. As provided in 37 CFR §1.98(d), copies of the documents are not being provided since they were previously submitted to the United States Patent & Trademark Office in the above-identified parent application.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(b), before the mailing date of the first Office Action on the merits.

RELEVANCE OF EACH DOCUMENT

All of the documents are in English.

Applicants respectfully request that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

Date

2 November 2004

By

Stephen A. Bent

FOLEY & LARDNER LLP
Customer Number: 22428
Telephone: (202) 672-5404
Facsimile: (202) 672-5399

Stephen A. Bent
Attorney for Applicant
Registration No. 29,768

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Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT Date Submitted: November 2, 2004 (use as many sheets as necessary)				Complete if Known <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Application Number</td> <td>10/608,424</td> </tr> <tr> <td>Filing Date</td> <td>06/30/2003</td> </tr> <tr> <td>First Named Inventor</td> <td>Louis D. FALO, Jr.</td> </tr> <tr> <td>Group Art Unit</td> <td>1646</td> </tr> <tr> <td>Examiner Name</td> <td>Unassigned</td> </tr> <tr> <td>Attorney Docket Number</td> <td>076333-0325</td> </tr> </table>		Application Number	10/608,424	Filing Date	06/30/2003	First Named Inventor	Louis D. FALO, Jr.	Group Art Unit	1646	Examiner Name	Unassigned	Attorney Docket Number	076333-0325
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Attorney Docket Number	076333-0325																
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U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	B1	5,851,756		Steinman	12/22/1998	
	B2	5,788,963		Murphy G.P. et al.	8/4/1998	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Office ³	Number ⁴	Kind Code ⁵ (if known)		
	B3		WO 98/46785		10/22/1998	

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ⁶
	B4	Rock et al., "Generation of Class I MHC-Restricted T-T Hybridomas", <i>The Journal of Immunology</i> , 1990, pp. 804-811, Vol. 145, No. 3.	
	B5	FLAMAND et al., "Murine Dendritic Cells Pulsed In Vitro with Tumor Antigen Induce Tumor Resistance in Vivo", <i>Eur. J. Immunol.</i> , 1994, pp. 605-610 Vol. 24.	
	B6	GUO et al., "Effective Tumor Vaccine Generated by Fusion of Hepatoma Cells with Activated B Cells", <i>Science</i> , January 28, 1994, pp. 518-520, Vol. 263.	
	B7	MAYORDOMO et al., "Bone Marrow-Derived Dendritic Cells Pulsed with Synthetic Tumour Peptides Elicit Protective and Therapeutic Antitumour Immunity", <i>Nature Medicine</i> , December 1995, pp. 1297-1302, Vol. 1, No. 12.	
	B8	CELLUZZI et al., "Peptide-Pulsed Dendritic Cells Induce Antigen-Specific, CTL-Mediated Protective Tumor Immunity", <i>J. EXP. MED.</i> January 1996, pp. 283-287, Vol. 183.	
	B9	HSU et al., "Vaccination of Patients with B-Cell Lymphoma Using Autologous Antigen-Pulsed Dendritic Cells", <i>Nature Medicine</i> , January 1996, pp. 52-58, vol. 2, No. 1.	
	B10	GONG et al., "Induction of Antitumor Activity by Immunization with Fusions of Dendritic and Carcinoma Cells", <i>Nature Medicine</i> , May 1997, pp. 558-561, Vol. 3, No. 5.	

Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Date Submitted: November 2, 2004

(use as many sheets as necessary)

Sheet	2	of	2
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[illegible]Date
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